

**UNITED STATES DISTRICT COURT
FOR THE CENTRAL DISTRICT OF CALIFORNIA**

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

v.

CHRISTOPHER SLAGA a/k/a
KEITH RENKO,
Q4 CAPITAL GROUP, LLC,
J4 CAPITAL ADVISORS LLC, and
HAYDEN GREENE,

Defendants.

Case No. 8:23-cv-01425-JWH-DFMx

**JUDGMENT AS TO DEFENDANT
J4 CAPITAL ADVISORS LLC**

1 The Securities and Exchange Commission (the “Commission”) having
2 filed a Complaint and Defendant J4 Capital Advisers LLC (“J4 Capital” or
3 “Defendant”) having entered a general appearance; consented to the Court’s
4 jurisdiction over Defendant and the subject matter of this action; consented to
5 entry of this Judgment; waived findings of fact and conclusions of law; and
6 waived any right to appeal from this Judgment:

7 It is hereby **ORDERED, ADJUDGED, and DECREED** as follows:

8 1. Defendant is permanently **RESTRAINED** and **ENJOINED** from
9 violating, directly or indirectly, Section 10(b) of the Securities Exchange Act of
10 1934 (the “Exchange Act”) [15 U.S.C. § 78j(b)] and Rule 10b-5 promulgated
11 thereunder [17 C.F.R. § 240.10b-5], by using any means or instrumentality of
12 interstate commerce, or of the mails, or of any facility of any national securities
13 exchange, in connection with the purchase or sale of any security:

- 14 a. to employ any device, scheme, or artifice to defraud;
15 b. to make any untrue statement of a material fact or to omit to
16 state a material fact necessary in order to make the statements made, in
17 the light of the circumstances under which they were made, not
18 misleading; or
19 c. to engage in any act, practice, or course of business which
20 operates or would operate as a fraud or deceit upon any person.

21 2. As provided in Rule 65(d)(2) of the Federal Rules of Civil
22 Procedure, the foregoing Paragraph also binds the following who receive actual
23 notice of this Judgment by personal service or otherwise:

- 24 a. Defendant’s officers, agents, servants, employees, and
25 attorneys; and
26 b. other persons in active concert or participation with
27 Defendant or with anyone described in Paragraph 2(a).
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1 3. Defendant is permanently **RESTRAINED** and **ENJOINED** from
2 violating Section 17(a) of the Securities Act of 1933 (the “Securities Act”) [15
3 U.S.C. § 77q(a)] in the offer or sale of any security by the use of any means or
4 instruments of transportation or communication in interstate commerce or by
5 use of the mails, directly or indirectly:

6 a. to employ any device, scheme, or artifice to defraud;

7 b. to obtain money or property by means of any untrue
8 statement of a material fact or any omission of a material fact necessary in
9 order to make the statements made, in light of the circumstances under
10 which they were made, not misleading; or

11 c. to engage in any transaction, practice, or course of business
12 which operates or would operate as a fraud or deceit upon the purchaser.

13 4. As provided in Rule 65(d)(2) of the Federal Rules of Civil
14 Procedure, the foregoing Paragraph also binds the following who receive actual
15 notice of this Judgment by personal service or otherwise:

16 a. Defendant’s officers, agents, servants, employees, and
17 attorneys; and

18 b. other persons in active concert or participation with
19 Defendant or with anyone described in Paragraph 4(a).

20 5. Defendant is permanently **RESTRAINED** and **ENJOINED** from
21 violating Sections 5(a) and (c) of the Securities Act [15 U.S.C. §§ 77e(a), (c)] by,
22 directly or indirectly, in the absence of any applicable exemption:

23 a. Unless a registration statement is in effect as to a security,
24 making use of any means or instruments of transportation or
25 communication in interstate commerce or of the mails to sell such
26 security through the use or medium of any prospectus or otherwise;

27 b. Unless a registration statement is in effect as to a security,
28 carrying or causing to be carried through the mails or in interstate

1 commerce, by any means or instruments of transportation, any such
2 security for the purpose of sale or for delivery after sale; or

3 c. Making use of any means or instruments of transportation or
4 communication in interstate commerce or of the mails to offer to sell or
5 offer to buy through the use or medium of any prospectus or otherwise
6 any security, unless a registration statement has been filed with the
7 Commission as to such security, or while the registration statement is the
8 subject of a refusal order or stop order or (prior to the effective date of the
9 registration statement) any public proceeding or examination under
10 Section 8 of the Securities Act [15 U.S.C. § 77h].

11 6. As provided in Rule 65(d)(2) of the Federal Rules of Civil
12 Procedure, the foregoing Paragraph also binds the following who receive actual
13 notice of this Judgment by personal service or otherwise:

14 a. Defendant's officers, agents, servants, employees, and
15 attorneys; and

16 b. other persons in active concert or participation with
17 Defendant or with anyone described in Paragraph 6(a).

18 7. Pursuant to Sections 21(d)(1) and 21(d)(5) of the Exchange Act [15
19 U.S.C. §§ 78u(d)(1) and 78u(d)(5)] and Section 20(b) of the Securities Act [15
20 U.S.C. § 77t(b)], Defendant is permanently **RESTRAINED** and **ENJOINED**
21 from directly or indirectly, including, but not limited to, through any entity
22 owned or controlled by it, participating in the issuance, purchase, offer, or sale
23 of any security.

24 8. As provided in Rule 65(d)(2) of the Federal Rules of Civil
25 Procedure, the foregoing Paragraph also binds the following who receive actual
26 notice of this Judgment by personal service or otherwise:

27 a. Defendant's officers, agents, servants, employees, and
28 attorneys; and

1 b. other persons in active concert or participation with
2 Defendant or with anyone described in Paragraph 8(a).

3 9. Defendant is liable for disgorgement of \$2,808,934.32, jointly and
4 severally with Defendants Christopher Slaga a/k/a Keith Renko and Q4 Capital
5 Group, LLC, representing net profits gained as a result of the conduct alleged in
6 the Complaint, together with prejudgment interest thereon in the amount of
7 \$262,495.31, for a total of \$3,071,429.63. Defendant shall satisfy this obligation
8 by paying \$3,071,429.63 to the Securities and Exchange Commission within
9 30 days after entry of this Judgment.

10 10. Defendant may transmit payment electronically to the
11 Commission, which will provide detailed ACH transfer/Fedwire instructions
12 upon request. Payment may also be made directly from a bank account via
13 Pay.gov through the SEC website at
14 <http://www.sec.gov/about/offices/ofm.htm>. Defendant may also pay by
15 certified check, bank cashier's check, or United States postal money order
16 payable to the Securities and Exchange Commission, which shall be delivered or
17 mailed to:

18 Enterprise Services Center
19 Accounts Receivable Branch
20 6500 South MacArthur Boulevard
21 Oklahoma City, OK 73169

22 and shall be accompanied by a letter identifying the case title, civil action
23 number, and name of this Court; J4 Capital Advisers LLC as a defendant in this
24 action; and specifying that payment is made pursuant to this Judgment.

25 11. Defendant shall simultaneously transmit photocopies of evidence of
26 payment and case identifying information to the Commission's counsel in this
27 action. By making this payment, Defendant relinquishes all legal and equitable
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1 right, title, and interest in such funds and no part of the funds shall be returned
2 to Defendant.

3 12. The Commission shall hold the funds (collectively, the “Fund”)
4 until further order of this Court. The Commission may propose a plan to
5 distribute the Fund subject to the Court’s approval, and the Court shall retain
6 jurisdiction over the administration of any distribution of the Fund.

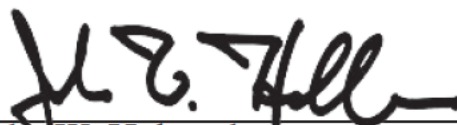
7 13. The Commission may enforce the Court’s Judgment for
8 disgorgement and prejudgment interest by using all collection procedures
9 authorized by law, including, but not limited to, moving for civil contempt at any
10 time after 30 days following entry of this Judgment. Defendant shall pay post
11 judgment interest on any amounts due after 30 days of entry of this Judgment
12 pursuant to 28 U.S.C. § 1961.

13 14. Consent is incorporated herein with the same force and effect as if
14 fully set forth herein, and Defendant shall comply with all of the undertakings
15 and agreements set forth therein.

16 15. This Court shall retain jurisdiction of this matter for the purposes
17 of enforcing the terms of this Judgment.

18 **IT IS SO ORDERED.**

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20 Dated: December 23, 2024

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23 John W. Holcomb
24 UNITED STATES DISTRICT JUDGE
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